



1-5-05

IPW

GETS 5314.1
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ajith K. Kumar et al.

Art Unit 3663

Serial No. 10/736,089

Filed December 15, 2003

Confirmation No. 3281

For Multi-Level Railway Operations Optimization System and Method

January 4, 2005

COMMISSIONER FOR PATENTS
P.O. BOX 1450
ALEXANDRIA, VIRGINIA 22313-1450

SIR:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

* In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicants submit the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein.

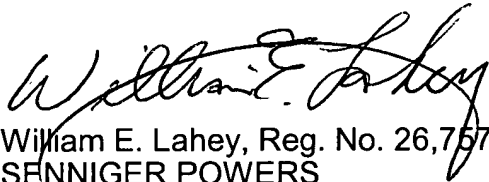
I certify that each item contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

* Applicants submit herewith copies of the literature references.

This Information Disclosure Statement is being submitted pursuant to 37 C.F.R. §1.97(b) in that applicants believe that it is being filed prior to the mailing date of the first Office action on the merits. Accordingly, neither a statement nor fee under 37 C.F.R. §1.97(c) or (d) is required.

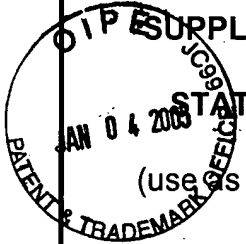
However, if an Office action was issued prior to the date of mailing of this Information Disclosure Statement, the Commissioner is hereby authorized to charge any required fees regarding this Information Disclosure Statement to Deposit Account No. 19-1345.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lahey", written over a horizontal line.

William E. Lahey, Reg. No. 26,757
SENNIGER POWERS
One Metropolitan Square, 16th Floor
St. Louis, Missouri 63102
(314) 231-5400

WEL/lrw
Express Mail Label No. EV 453249524 US
Mail Stop Amendment

PTO/SB/08A				Complete if Known	
 SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/736,089
				Filing Date	January 15, 2003
				Confirmation Number	3281
				First Named Inventor	Ajith K. Kumar
				Group Art Unit	3663
				Examiner Name	Ronnie M. Mancho
Sheet	1	of	2	Attorney Docket No.	GETS 5314.1

U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY		
		Number	Kind Code ² (if known)				
	2	5,794,172		William L. Matheson et al.	08-11-1998		
	3	5,828,979		Alan L. Polivka et al.	10-27-1998		
	4	6,135,396		Russell U. Whitfield et al.	10-24-2000		
	5	2003/0213875	A1	Gerald James Hess Jr. et al.	11-20-2003		
	6	2003/0236598	A1	Marco Antonio Villarreal Antelo et al.	12-25-2003		
FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
	7	EP	0 554 983	A1	Gregory David Newman	01-20-1993	
	8	EP	0 958 987	A2	Helmut Uebel	05-11-1999	A
	9	EP	1 293 948	A2	Karl-Heinz Erhard	09-12-2002	A
OTHER ART - NON PATENT LITERATURE DOCUMENTS							

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A				Complete if Known	
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/736,089
				Filing Date	January 15, 2003
				Confirmation Number	3281
				First Named Inventor	Ajith K. Kumar
				Group Art Unit	3663
				Examiner Name	Ronnie M. Mancho
Sheet	2	of	2	Attorney Docket No.	GETS 5314.1

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	10	PCT International Search Report for PCT Patent Application PCT/US2004/020910, November 25, 2004, 8 pages, European Patent Office, Massalski, M.	

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.